

# KEELE UNIVERSITY LEGAL ADVICE CLINIC

## Compliments and Complaints

### Policy and Procedure

#### 1 Our Aim

Keele University's Legal Advice Clinics (Divorce, Commercial and Small Claims) ("Keele University Legal Advice Clinic") are committed to providing a quality service and working in an open and accountable way that builds trust and respect. One of the ways in which we can continue to improve our service is by listening and responding to the views of our clients and stakeholders, and in particular by responding positively to complaints, and by putting mistakes right.

Therefore, we aim to ensure that:

- making a compliment or complaint is as easy as possible
- we welcome compliments, feedback and suggestions
- we treat a complaint as a clear expression of dissatisfaction with our service which calls for a fast response
- we deal with it promptly, politely and, when appropriate, confidentially
- we respond in the right way - for example, with an explanation, or an apology where we have got things wrong, or information on any action taken etc.
- we learn from complaints, use them to improve our service, and review annually our complaints policy and procedures

We recognise that many concerns will be raised informally and dealt with quickly.

Our aims are to:

- resolve informal concerns quickly
- keep matters low-key
- enable mediation between the complainant and the individual to whom the complaint has been referred

This policy ensures that we welcome compliments and provide guidelines for dealing with complaints from members of the public about our services, facilities, staff and volunteers.

#### 2. Definitions

A compliment is an expression of satisfaction about the standard of service we provide.

A complaint is defined as any expression of dissatisfaction; however, it is expressed. This would include complaints expressed face to face, via a phone call, in writing, via email or any other method. All staff should have sufficient knowledge to be able to identify an “expression of dissatisfaction” even when the word “complain” or “complaint” is not used.

### **3. Purpose**

We are always glad to hear from people who are satisfied with the services we offer. All compliments are recorded, acknowledged, and a copy is sent to the relevant person to provide feedback to the member of staff or service.

### **4. Complaints**

The formal complaints procedure is intended to ensure that all complaints are handled fairly, consistently and wherever possible resolved to the complainant's satisfaction.

### **5. Responsibilities**

Keele University Legal Advice Clinic's responsibility will be to:

- acknowledge the formal complaint in writing;
- respond within a stated period of time;
- deal reasonably and sensitively with the complaint; and
- take action where appropriate.

A complainant's responsibility is to:

- bring their complaint, in writing, to Keele University Legal Advice Clinic's attention normally within 8 weeks of the issue arising;
- raise concerns promptly and directly with a member of staff in Keele University Legal Advice Clinic;
- explain the problem as clearly and as fully as possible, including any action taken to date;
- allow Keele University Legal Advice Clinic a reasonable time to deal with the matter, and
- recognise that some circumstances may be beyond Keele University Legal Advice Clinic's control.

### **6. Confidentiality:**

Except in exceptional circumstances, every attempt will be made to ensure that both the complainant and Keele University Legal Advice Clinic maintain confidentiality. However, the circumstances giving rise to the complaint may be such that it may not be possible to maintain confidentiality (with each complaint judged on its own facts). Should this be the case, the situation will be explained to the complainant.

## **7. Complaints Procedure:**

Written records must be made by Keele University Legal Advice Clinic at each stage of the procedure.

### **Stage 1**

In the first instance, staff member(s) must establish the seriousness of the complaint. An informal approach is appropriate when it can be achieved. But if concerns cannot be satisfactorily resolved informally, then the formal complaints procedure should be followed.

### **Stage 2**

If the complaint cannot be resolved informally, the member of the public should be advised that a formal complaint may be made and the following procedure should be explained to them. It may sometimes be appropriate for a different member of staff, preferably a member of the Management Team, to make this explanation.

- a) A formal complaint can be made either verbally or in writing. If in writing the attached form should be used. If verbally, a statement should be taken by the relevant Supervising Solicitor responsible for the Legal Advice Clinic.
- b) In all cases, the complaint must be passed on to the relevant Supervising Solicitor responsible for the Legal Advice Clinic. In the event of a complaint about the relevant Supervising Solicitor, the complaint should be passed to an alternative Supervising Solicitor who has not previously been involved in the matter.
- c) The Supervising Solicitor or alternative Supervising Solicitor, depending on the nature of the complaint, must acknowledge the complaint in writing within one week of receiving it.
- d) One of the above will investigate the complaint. .
- e) The person making the complaint will receive a response based on the investigation within four weeks of the complaint being received. If this is not possible then a letter or email must be sent explaining why.

### **Stage 3**

- a) If the complainant is not satisfied with the above decision, then a meeting will be convened by the Supervising Solicitor and Reader in Legal Education. If the

complaint concerns the Supervising Solicitor and Reader in Legal Education, a meeting will be convened by the Head of Law.

- b) The Supervising Solicitor and Reader in Legal Education (or the Head of Law if appropriate) will examine the complaint and may wish to carry out further interviews, examine files / notes. They will respond within four weeks in writing. Their decision will be final.

# KEELE UNIVERSITY LEGAL ADVICE CLINIC COMPLAINTS FORM

You may use this form to make a suggestion or to make a complaint about Keele University Legal Advice Clinic.

We would like you to return this form as soon as possible.

**Your Name** .....

**Address** .....

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**Telephone** .....

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**Date of incident**

**Approximate time of incident**

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**Suggestion / Complaint**

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**What action would you like to be taken?**

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**What times are convenient for you to have an appointment to discuss this?**